

PATENT  
410 Rec'd PCT/PTO 17 SEP 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Christophe Marcireau et al.

Examiner:

Art Unit:

Serial No.: 09/744,125

Filed: January 19, 2001

Title: MEKK1-INTERACTING FHA  
PROTEIN (M1F1)

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as First  
Class Mail in an envelope addressed to Commissioner  
for Patents, Washington, D.C. 20231, on

Date of Deposit

9/13/01

Signature

*Skapdix*

LATE SUBMISSION OF DECLARATION  
UNDER 37 C.F.R. 1.53(f)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING  
DATE GRANTED" which was mailed on 13 April, 2001 and which indicated that the oath or  
declaration was missing, Applicants submit herewith the Declaration for the present application and  
a copy of Form PTO-1533.

Please charge the \$130.00 fee involved to Deposit Account No. 18-1982. The Commissioner  
is hereby authorized to charge any additional fees which may be required by this paper, or credit any  
overpayment to Account No. 18-1982. Two duplicate copies of this sheet are enclosed.

09/21/2001 SNAJARRO 00000044 181982 09744125  
01 FC:154 130.00 CH

Respectfully submitted,

*William C. Coppola*

William C. Coppola, Reg. No. 41,686  
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Docket No. A3233A



## UNITED STATES PATENT AND TRADEMARK OFFICE

09/744 125

MARCIREAU

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231

C

A32339 WO

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

005487

S611

PCT/EP99/05142

INTERNATIONAL APPLICATION NO.

AVENTIS PHARMACEUTICALS, INC.  
PATENTS DEPARTMENT  
ROUTE 202-206, P.O. BOX 6800  
BRIDGEWATER NJ 08807-0800

I.A. FILING DATE 7/21/98 PRIORITY DATE 07/21/98

04/13/01

DATE MAILED:

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.  
☒ Copy of the international application. ☐ Translation of the international application into English.  
☒ Oath or Declaration of inventor(s). ☐ Translation of Article 19 amendments into English.  
☐ Copy of Article 19 amendments. ☐ Other:  
☒ Priority Document.  
☒ The International Preliminary Examination Report in English and its Annexes, if any.  
☐ Translation of Annexes to the International Preliminary Examination Report into English.

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the international application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.5. ☒ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.  
 7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**Enclosed: ☒ PCT/DO/EO/917  
☐ PTO-875☐ Notice of Defective Translation  
☒ PCT/DO/EO/920

Barbara Campbell, Paralegal

Telephone: 703 305-3631

FORM PCT/DO/EO/905 (March 2001)

*Regulatory*  
*4-17-01*  
*KJK*  
**ACTION DUE**  
**DUE DATE**  
**DKTD BY**  
**ATTY**  
*Decl + Reg. ending w/ Statement no minime*



UNITED STATES PATENT AND TRADEMARK OFFICE

09/744125

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO. 09/744125	5611	ATTY. DOCKET NO. A3233A
AVENTIS PHARMACEUTICALS, INC. PATENTS DEPARTMENT ROUTE 202-206, P.O. BOX 6800 BRIDGEWATER NJ 08807-0800		INTERNATIONAL APPLICATION NO. PCT/EP99/05142
		I.A. FILING DATE 07/21/99
		PRIORITY DATE 07/21/98 04/13/01

DATE MAILED:

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☐ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ Other: \_\_\_\_\_

**APPLICANT MUST PROVIDE:**

- ☒ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☐ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

**FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE  
CALL:**

(703) 308-4216, for Rules interpretation,  
(703) 308-4212, for CRF submission help,  
(703) 287-0200, for PatentIn software help.

Barbara Campbell, Paralegal  
Telephone: 703 305-3631



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231

09/244125

MARCIREAU

C A32339 US

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

005487

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PCT/EP99/05142

INTERNATIONAL APPLICATION NO.

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PATENTS DEPARTMENT  
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- |  |   |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                         |
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| <input checked="" type="checkbox"/> Oath or Declaration of inventor(s).  | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input type="checkbox"/> Other:   |
| <input type="checkbox"/> Priority Document.  |   |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |   |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐
- U.S. Basic National Fee.
- ☐
- Copy of the international application.

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☐ PTO-875☐ Notice of Defective Translation  
☒ PCT/DO/EO/920

Barbara Campbell, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703 305-3631

**ACTION DUE**

**DUE DATE**

**DKTD BY**

**ATTY**

*Reply to Missing Parts Deadline 11-13-01*

*Reply to Missing Parts Deadline 11-13-01*

*4-17-01*

*KJX*

*Dec 4 Req. Missing w/ Statement and Mailing*